

Electronic Acknowledgement Receipt

EFS ID:	5741051
Application Number:	09688392
International Application Number:	
Confirmation Number:	2870
Title of Invention:	System and method for trading taxable and non-taxable securities
First Named Inventor/Applicant Name:	Thomas C. Naratil
Customer Number:	21890
Filer:	Breda Donovan/Elizabeth Tavarez
Filer Authorized By:	Breda Donovan
Attorney Docket Number:	74622-015
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Application Type:	Utility under 35 USC 111(a)

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Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 1110
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Authorized User	

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Applicant Arguments/Remarks Made in an Amendment	74622-015amendment.pdf	299841	no	9
			e4477abbfc929e24bf9de7ac965eeffa98d22464		
Warnings:					
Information:					
2	Extension of Time	74577-015ext.pdf	312572	no	2
			51935964108adf5abafb1bba89f0c3bcad654bfe		
Warnings:					
Information:					
3	Fee Worksheet (PTO-875)	fee-info.pdf	30174	no	2
			5011acf8dab80300f62f0a478df555cfd7e3ac7		
Warnings:					
Information:					
Total Files Size (in bytes):			642587		

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.